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# NOTICE OF ALLOWANCE AND FEE(S) DUE

FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER LLP 901 NEW YORK AVENUE, NW WASHINGTON, DC 20001-4413 EXAMINER

PEFFLEY, MICHAEL F

ART UNIT

PAPER NUMBER

3739

DATE MAILED: 04/07/2011

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|----------------------|---------------------|------------------|
| 09/484,247      | 01/18/2000  | Charles R. Slater    | 6530.0008-03        | 9470             |

TITLE OF INVENTION: BIPOLAR ENDOSCOPIC SURGICAL SCISSOR BLADES AND INSTRUMENT INCORPORATING THE SAME

| APPLN. TYPE    | SMALL ENTITY | ISSUE FEE DUE | PUBLICATION FEE DUE | PREV. PAID ISSUE FEE | TOTAL FEE(S) DUE | DATE DUE   |
|----------------|--------------|---------------|---------------------|----------------------|------------------|------------|
| nonprovisional | NO           | \$1510        | \$0                 | \$0                  | \$1510           | 07/07/2011 |

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

#### PART B - FEE(S) TRANSMITTAL

### Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

or <u>Fax</u> (571)-273-2885

| ndicated unless correcto<br>naintenance fee notifica   | ed below or directed oth<br>tions.   | nerwise in Block 1, by (a   | rders and notification of many specifying a new corresponding to the cor | pondence address; a   | ınd/or (b) ir   | ndicating a separ  | rate "FEE ADDRESS" for   |
|--|--|---|--|---|---|--|--|
| CURRENT CORRESPOND 22852   | ENCE ADDRESS (Note: Use BI<br>7590 04/07   | , ,   | Feets  | s) Transmittal This   | certificate c   | annot be used fo   | domestic mailings of the<br>r any other accompanying<br>t or formal drawing, must                                    |
| LLP<br>901 NEW YOR   | HENDERSON, FA<br>K AVENUE, NW<br>J, DC 20001-4413  | ARABOW, GARRI   | I her  | eby certify that this   | Fee(s) Tran   | niling or Transn<br>nsmittal is being<br>postage for first<br>FEE address a<br>-2885, on the dat | nission<br>deposited with the United<br>class mail in an envelope<br>above, or being facsimile<br>e indicated below. |
|  | ,  |   |  |   |   |  | (Depositor's name)   |
|  |  |   |  |   |   |  | (Signature)  |
|  |  |   |  |   |   |  | (Date)   |
| APPLICATION NO.  | FILING DATE  |   | FIRST NAMED INVENTOR   | 2   | ATTORNEY  | DOCKET NO.   | CONFIRMATION NO.   |
| 09/484,247   | 01/18/2000   |   | Charles R. Slater  |   | 6530.0  | 0008-03  | 9470   |
|  | : BIPOLAR ENDOSCO  | PIC SURGICAL SCISSO   | DR BLADES AND INSTRU   | UMENT INCORPO   |   |  | DATE DUE   |
| APPLN. TYPE  |  |   | PUBLICATION FEE DUE  |   | FEE TOI   | AL FEE(S) DUE  | DATE DUE   |
| nonprovisional   | NO   | \$1510  | \$0  | \$0   |   | \$1510   | 07/07/2011   |
| EXAM   | IINER  | ART UNIT  | CLASS-SUBCLASS   |   |   |  |  |
| PEFFLEY, N   | MICHAEL F  | 3739  | 606-048000   |   |   |  |  |
| CFR 1.363).  Change of corresp Address form PTO/SI  "Fee Address" ind PTO/SB/47; Rev 03-C Number is required.  ASSIGNEE NAME A | ND RESIDENCE DATA<br>less an assignee is ident<br>h in 37 CFR 3.11. Comp   | 2. For printing on the patent front page, list  (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,  (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.  THE PATENT (print or type) e data will appear on the patent. If an assignee is identified below, the document has been filed for DT a substitute for filing an assignment.  (B) RESIDENCE: (CITY and STATE OR COUNTRY) |  |   |   |  |  |
| a. The following fee(s)  Issue Fee  Publication Fee (N   | <u> </u>   | permitted)  | inted on the patent):  D. Payment of Fee(s): (Please A check is enclosed.  Payment by credit carded The Director is hereby overpayment, to Depose  | se first reapply any  | previously s attached.                                    | paid issue fee s   |  |
| a. Applicant claim   | tus (from status indicate<br>is SMALL ENTITY statu<br>d Publication Fee (if req<br>records of the United Sta       | ıs. See 37 CFR 1.27.  | b. Applicant is no long  | ger claiming SMALI  | LENTITY s   | tatus. See 37 CF   | R 1.27(g)(2).  |
| Authorized Signature   |  |   |  | Date  |   |  |  |
|  |  |   |  | Registration No.  |   |  |  |
| his collection of inform<br>n application. Confiden<br>ubmitting the completed<br>his form and/or suggesti                     | nation is required by 37 C<br>tiality is governed by 35<br>d application form to the<br>ions for reducing this but | CFR 1.311. The informatic<br>U.S.C. 122 and 37 CFR<br>USPTO. Time will vary<br>rden, should be sent to the  | on is required to obtain or re<br>1.14. This collection is esti<br>depending upon the indivi<br>e Chief Information Office   | etain a benefit by the<br>imated to take 12 mi<br>idual case. Any com<br>r, U.S. Patent and T | public whi<br>inutes to con<br>iments on th<br>rademark O | ch is to file (and<br>mplete, including<br>ne amount of tim<br>ffice, U.S. Depar                 | by the USPTO to process)<br>gathering, preparing, and<br>the you require to complete<br>the threat of Commerce, P.O. |

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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| APPLICATION NO.                       | FILING DATE    | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
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| 09/484,247                            | 01/18/2000     | Charles R. Slater    | 6530.0008-03        | 9470             |
| 22852 75                              | 90 04/07/2011  | EXAMINER             |                     |                  |
| , , , , , , , , , , , , , , , , , , , | NDERSON, FARAI | PEFFLEY, MICHAEL F   |                     |                  |
| LLP                                   |                | A DOTE I INTER       | DADED MUMDED        |                  |
| 901 NEW YORK AVENUE, NW               |                |                      | ART UNIT            | PAPER NUMBER     |
| WASHINGTON, I                         | OC 20001-4413  | 3739                 |                     |                  |

### **Determination of Patent Term Extension under 35 U.S.C. 154 (b)**

(application filed after June 7, 1995 but prior to May 29, 2000)

The Patent Term Extension is 79 day(s). Any patent to issue from the above-identified application will include an indication of the 79 day extension on the front page.

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Extension is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

#### **Privacy Act Statement**

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

|  | Application No.   | Applicant(s)   |  |  |  |  |
|--|---|--|--|--|--|--|
|  | 09/484.247  | SLATER, CHARLES R.   |  |  |  |  |
| Notice of Allowability   | Examiner  | Art Unit   |  |  |  |  |
|  | Michael Peffley   | 3739   |  |  |  |  |
|  | When aer i emey   | 3703   |  |  |  |  |
| The MAILING DATE of this communication appear All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIOF of the Office or upon petition by the applicant. See 37 CFR 1.313 | (OR REMAINS) CLOSED or other appropriate comm GHTS. This application is | n this application. If not included nunication will be mailed in due course. <b>THIS</b> |  |  |  |  |
| 1. $\boxtimes$ This communication is responsive to <u>the BPAI favorable de</u>  | ecision on intereference of   | <u>December 8, 2010</u> .  |  |  |  |  |
| 2. The allowed claim(s) is/are 40 and 42-51.   |   |  |  |  |  |  |
| 3. ☐ Acknowledgment is made of a claim for foreign priority ur  a) ☐ All b) ☐ Some* c) ☐ None of the:  |   | or (f).  |  |  |  |  |
| Certified copies of the priority documents have  Certified copies of the priority documents have  Certified copies of the priority documents have  |   | on No  |  |  |  |  |
| <ul><li>2.  Certified copies of the priority documents have</li><li>3.  Copies of the certified copies of the priority documents</li></ul>   | • •   |  |  |  |  |  |
| International Bureau (PCT Rule 17.2(a)).   | cuments have been receive   | ed in this national stage application from the   |  |  |  |  |
| * Certified copies not received:   |   |  |  |  |  |  |
| Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application.  THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.                                     |   |  |  |  |  |  |
| 4. A SUBSTITUTE OATH OR DECLARATION must be submitted. Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL PATENT APPLICATION (PTO-152) which gives reason(s) why the oath or declaration is deficient.   |   |  |  |  |  |  |
| 5. X CORRECTED DRAWINGS ( as "replacement sheets") mus   | t be submitted.   |  |  |  |  |  |
| (a) including changes required by the Notice of Draftsperson's Patent Drawing Review (PTO-948) attached  |   |  |  |  |  |  |
| 1) 🔲 hereto or 2) 🔲 to Paper No./Mail Date   |   |  |  |  |  |  |
| (b) ☐ including changes required by the attached Examiner's Amendment / Comment or in the Office action of<br>Paper No./Mail Date  |   |  |  |  |  |  |
| Identifying indicia such as the application number (see 37 CFR 1 each sheet. Replacement sheet(s) should be labeled as such in t   |   |  |  |  |  |  |
| 6. DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.  |   |  |  |  |  |  |
|  |   |  |  |  |  |  |
| Attachment(s) 1. ☐ Notice of References Cited (PTO-892)  | 5 D Notice of L   | nformal Patent Application   |  |  |  |  |
| <ol> <li>Notice of Preferences Cited (PTO-092)</li> <li>Dotice of Draftperson's Patent Drawing Review (PTO-948)</li> </ol>   | <u>=</u>  | Summary (PTO-413),   |  |  |  |  |
|  | Paper No  | /Mail Date   |  |  |  |  |
| 3. Information Disclosure Statements (PTO/SB/08), Paper No./Mail Date  | 7. 🖂 Examiners  | s Amendment/Comment  |  |  |  |  |
| 4. Examiner's Comment Regarding Requirement for Deposit of Biological Material   | <del>-</del>  | s Statement of Reasons for Allowance   |  |  |  |  |
|  | 9.  | <del>-</del> -   |  |  |  |  |
|  |   |  |  |  |  |  |

#### Examiner's Amendment

An examiner's amendment to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the payment of the issue fee.

Please insert the following as the first sentence of the specification:

--This application is a divisional of US Patent Application Serial Number 08/806,386, filed February 27, 1997, now US Patent No. 6,447,511, which is a divisional of US Patent Application Serial Number 08/354,992, filed December 13, 1994, now abandoned.—

Formal drawings are now required as the drawing filed with the application were informal in nature.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Michael Peffley whose telephone number is (571) 272-4770. The examiner can normally be reached on Mon-Fri from 7am-4pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Linda Dvorak can be reached on (571) 272-4764. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Application/Control Number: 09/484,247 Page 3

Art Unit: 3739

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Michael Peffley/ Primary Examiner, Art Unit 3739

/mp/ April 5, 2011